



21075/211A 3855 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
HIDEYOSHI HORIMAI  
Serial No.: 10/576,431  
Filed: April 20, 2006  
For: OPTICAL INFORMATION RECORDING  
METHOD AND OPTICAL INFORMATION  
RECORDING MEDIUM

Art Unit: 2627  
Examiner: Van Nguyen Chow

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(b)(3)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicant for the above-identified application hereby submits legible copies of the following art along with a form PTO/SB/08A which contains the information required by 37 CFR 1.98(b):

- A. U.S. Patent No. 4,121,881 issued to Bartolini et al. on October 24, 1978;
- B. U.S. Patent Application Publication No. 2002/0015376 of Liu et al. published on February 7, 2002;
- C. European Patent Application Publication No. EP 1 324 322 published by the European Patent Office on July 2, 2003;
- D. U.S. Patent Application Publication No. 2003/161246 of Chuang published on August 28, 2003;
- E. U.S. Patent Application Publication No. 2003/025955 of Curtis published on February 6, 2003;
- F. U.S. Patent No. 6,414,762 issued to Yamaji et al. on July 2, 2002;
- G. Japanese Patent Application Laid-Open (Kokai) No. 11-311938 published by the Japan Patent Office on November 9, 1999;

H. Japanese Patent Application Laid-Open (Kokai) No. 2003-99952 published by the Japan Patent Office on April 4, 2003;

I. Japanese Patent Application Laid-Open (Kokai) No. H10-124872 published by the Japan Patent Office on May 15, 1998; and

J. U.S. Patent No. 5,638,193 issued to Trisnadi et al. on June 10, 1997.

The above-listed art A through F were cited in the Supplemental European Search Report (copy attached) issued to the European Patent Application No. EP 04 79 2753 which is a corresponding application to the above-identified, present U.S. patent application.

The art A through F are all in English. A copy of the art C is attached; however, copies of the art A, B and D through F are not required pursuant to 37 CFR 1.98 (a)(2) and are not attached to this Information Disclosure Statement.

The above-listed art G through I, all not in English, are cited by the applicant in the specification (English translation of the basic PCT specification) with a concise explanation of the relevance. Nonetheless, an English language abstract is attached to each one of these non-English art G through I. In addition, a computer-aided English translation issued by the Japan Patent Office is attached to each one of the art G through I pursuant to 37 CFR 1.98(a)(3)(ii).

The art J is in English, and a copy of the art J is not required pursuant to 37 CFR 1.98 (a)(2) and is not attached to this Information Disclosure Statement.

Accordingly, it is hereby respectfully requested that the Patent Office make a record of these art and consider them during the examination.

Respectfully submitted,

By

William L. Androlia

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